SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Smith Peter Royer  |    |       | 2. Date of Event<br>Requiring Statement<br>Month/Day/Year)<br>19/08/2015 |  |  |  |   |   |   |
|--|----|-------|--|--|--|--|---|---|---|
| (Last) (First) (Middle)<br>3438 PEACHTREE ROAD NE  |    |       |  | 4. Relationship of Reporting Perso<br>(Check all applicable)<br>Director | 10% Owne   | er (M                                  | 5. If Amendment, Date of Original Filed<br>(Month/Day/Year) |   |   |
| (Street)<br>ATLANTA  | GA | 30326 | -  |  | X Officer (give title<br>below)<br>EVP Supply C            | Other (specify<br>below)<br>Shain      |   | 6. Individual or Joint/Group Filing (Check<br>Applicable Line)<br>X Form filed by One Reporting Person<br>Form filed by More than One<br>Reporting Person |   |
| (City) (State) (Zip)   Table I - Non-Derivative Securities Beneficially Owned  |    |       |  |  |  |  |   |   |   |
| 1. Title of Security (Instr. 4)  |    |       |  |  | 2. Amount of Securities<br>Beneficially Owned (Instr. 4)   |  |   | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5)  |   |
| Table II - Derivative Securities Beneficially Owned       (e.g., puts, calls, warrants, options, convertible securities) |    |       |  |  |  |  |   |   |   |
| 1. Title of Derivative Security (Instr. 4)   |    |       | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)           |  | 3. Title and Amount of Securi Underlying Derivative Securi |  | 4.<br>Conversion<br>or Exercise                             | Form:   | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|  |    |       | Date<br>Exercisable  | Expiratior<br>Date   | n Title  | Amount<br>or<br>Number<br>of<br>Shares | Price of<br>Derivative<br>Security                          | Direct (D)<br>or Indirect<br>(I) (Instr. 5)   |   |

Explanation of Responses:

Remarks:

No securities are beneficially owned.

/s/Michael C. Wu, Attorney-in-09/16/2015

Fact for Peter Royer Smith

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## **POWER OF ATTORNEY**

The undersigned hereby constitutes and appoints each of Michael Wu, Senior Vice President, General Counsel and Secretary, signing singly, as the undersigned's true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Carter's, Inc. (the "Company"), Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Act"), and the rules thereunder;
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and to timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to the attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all the acts such attorney-in-fact shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, is not assuming any of the undersigned's responsibilities to comply with Section 16 of the Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of the date listed below.

Date: September 10, 2015

By: Name: /s/Peter Royer Smith Peter Royer Smith