SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 30(h) of t

1. Name and Address of Reporting Person* D'Emilio Julie			2. Issuer Name and Ticker or Trading Symbol <u>CARTERS INC</u> [CRI]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last) (First) (Middle) 3438 PEACHTREE ROAD NE SUITE 1800 (Street) ATLANTA GA 30326		()	3. Date of Earliest Transaction (Month/Day/Year) 08/23/2021	X Officer (give title Other (specify below) EVP, Sales
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person
(City)	(State)	(Zip) Table I - Non-Do	erivative Securities Acquired, Disposed of, or Bene	eficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	08/23/2021		М		2,800	A	\$42.61	32,837(1)	D	
Common Stock	08/23/2021		S		2,800	D	\$105.59 ⁽²⁾	30,037 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Purchase)	\$42.61	08/23/2021		М			2,800	(3)	02/22/2022	Common Stock	2,800	\$0	0	D	

Explanation of Responses:

1. Some of these shares are restricted shares that are subject to either time-vesting or performance-based restrictions.

2. This is a weighted average price. The shares were sold in multiple trade executions at prices ranging from \$105.56 to \$105.61, inclusive. Upon request by the Commission staff, the Issuer, or a security

holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

3. The option vested in four equal installments on February 22, 2013, 2014, 2015, and 2016.

Remarks:

/s/Scott F. Duggan, Attorneyin-Fact

08/24/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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