## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287

L	Estimated average burden	
	hours per response:	0.5

1. Name and Addr D'Emilio Ju	ess of Reporting Per <u>ie</u>	rson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CARTERS INC</u> [ CRI ]		tionship of Reporting Pe all applicable) Director	10% Owner	
(Last) 3438 PEACHT SUITE 1800	PEACHTREE ROAD NE		3. Date of Earliest Transaction (Month/Day/Year) 12/01/2017	X	Officer (give title below) EVP, Sa	Other (specify below)	
(Street) ATLANTA (City)	GA (State)	30326 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/01/2017		М		3,000	Α	\$22.79	19,319 <sup>(1)</sup>	D	
Common Stock	12/01/2017		S		3,000	D	<b>\$108.25</b> <sup>(2)</sup>	16,252 <sup>(1)(3)</sup>	D	

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option (right to buy)	\$22.79	12/01/2017		М			3,000	12/03/2008 <sup>(4)</sup>	12/03/2017	Common Stock	3,000	\$0	0	D	

#### Explanation of Responses:

1. Some of these shares are restricted shares that are subject to either time-vesting or performance-based restrictions.

2. This is a weighted average price. The shares were sold in multiple trade executions at prices ranging from \$108.00 to \$108.50, inclusive. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price.

3. The amount of securities beneficially owned includes 67 fewer shares of common stock than would otherwise be reflected in order to remedy an inadvertent clerical error in previous Section 16 filings for Ms. D'Emilio.

4. These options are all exercisable.

Remarks:

/s/Michael C. Wu, Attorney-in-12/05/2017

<u>Fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.