SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last) (Filst) (Middle) 3438 PEACHREE ROAD, NE (Check all applicable) X Director 10% Owner SUITE 1800 (Street) (Street) Officer (give title below) Other (specify below) 6. Individual or Joint/Group Filing (Check all applicable) (Street) (City) (State) (Zip) Form filed by One Reporting Person Table I - Non-Derivative Securities Beneficially Owned	1. Name and Address of Reporting Person* 2. Date of Event Requiring Statement (Month/Day/Year) 12/02/2019 12/02/2019			nent 🛛	3. Issuer Name and Ticker or Trading Symbol CARTERS INC [CRI]							
(Street) ATLANTA GA 30326 (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership Form: Direct (I) (Instr. 5) 4. Nature of Indirect Beneficial Owners Common Stock 0 D						(Check	all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
ATLANTA GA 30326 Form filed by More than One Reporting Person (City) (State) (Zip) Form filed by More than One Reporting Person Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (D) or Indirect (D) (Instr. 5) 4. Nature of Indirect Beneficial Owners Common Stock 0 D D	SUITE 1800									Appli	cable Line)	
Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) 4. Nature of Indirect Beneficial Owners Common Stock 0 D 0	l` /	GA	30326							Х	Form filed b	y More than One
1. Title of Security (Instr. 4) 2. Amount of Securities Beneficially Owned (Instr. 4) 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) 4. Nature of Indirect Beneficial Ownership (Instr. 5) Common Stock 0 D	(City)	(State)	(Zip)									
Beneficially Owned (Instr. 4)Form: Direct (D) or Indirect (I) (Instr. 5)(Instr. 5)Common Stock0D	Table I - Non-Derivative Securities Beneficially Owned											
	1. Title of Security (Instr. 4)							Form: Direct (D) or Indirect (I)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned	Common Stock					0 D						
(e.g., puts, calls, warrants, options, convertible securities)												
Expiration Date (Month/Day/Year) Underlying Derivative Security (Instr. 4) Conversion Ownership Beneficial Owner or Exercise Form: (Instr. 5)	1. Title of Derivative Security (Instr. 4)			Expiration Date				ty (Instr. 4) Conve or Exe		cise	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Date Expiration Title Amount of Responses: Price of Derivative of Derivative of Shares Direct (D) or Indirect (D) or Indirec				Date	Expiration			or Number	Derivati	ve	or Indirect	

Remarks:

No securities are beneficially owned.

<u>/s/Scott F. Duggan, Attorney-</u> in-Fact

12/04/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.